

## APPEAL AGAINST REJECTION OF CLIENT MONEY PROOF

Rule 4 of the  
Client Money  
Distribution  
Procedure

**IN THE MATTER OF THE INVESTMENT BANK SPECIAL ADMINISTRATION REGULATIONS  
2011**

Form  
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### *Application Notice*

**MF Global UK Limited (in special  
administration)**

Company number **01600658**

**IN THE HIGH COURT OF JUSTICE  
CHANCERY DIVISION  
COMPANIES COURT**

*For court use only*  
Court case number: **9527 of 2011**

Appeal against the rejection of a client money proof pursuant to  
Rule 4 of the Client Money Distribution Procedure prescribed by  
the Order of David Richards J dated 11 June 2013.

**Between  
Applicant**

**and  
Respondents**

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**(1) MF GLOBAL UK LIMITED**  
(as trustee of the Client Money Trust)

**(2) RICHARD HEIS**

**(3) MICHAEL ROBERT PINK**

**(4) RICHARD DIXON FLEMING**

(as Administrators of MF Global UK Limited (in  
special administration))

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This application is made pursuant to the Investment Bank Special Administration (England and Wales) Rules 2011, in accordance with Rule 4 of the Client Money Distribution Procedure prescribed by the Order of David Richards J dated 11 June 2013.

The application shall be governed by the Client Money Distribution Procedure and the Court shall give directions for the determination of this application in accordance with that procedure and, as appropriate, Chapter 5 of Part 9 of The Investment Bank Special Administration (England and Wales) Rules 2011.

(a) Insert name of applicant appealing the rejection of the client money proof      The Applicant (a)

(b) Insert address of the applicant and / or solicitor for the applicant      whose address is (b)

For Office use      intends to apply to Mr Justice David Richards on:-

Date

Time \_\_\_\_\_ hours

Place \_\_\_\_\_

(c) State clearly what order you are seeking.

For an order that (c)

(d) Briefly set out why you are seeking the order and what evidence you rely on in support of this application

For the following reasons (d)

The Applicant appends to this application a copy of: (1) its client money proof; and (2) the notice of rejection of that proof.

Date

Signed:

(SOLICITOR FOR THE) APPLICANT

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