



Enhanced Due Diligence Services

**Our proprietary Corporate
Intelligence Services**

kpmg.ch/ci



Enhanced Due Diligence Reports

Consistent, scalable, measurable solution for screening third parties and counterparties

We combine powerful, proprietary search technology with forensically-minded human analysis to give you the concise and comprehensive information you need to make informed decisions.

Enhanced due diligence reports draw on an extensive range of public information sources across the world. They include analysis by experienced corporate intelligence specialists. We offer six different report types on entities and individuals which vary in depth and scope of research, and the number of risk indicators covered, such as:

- An entity's or individual's background details
- Shareholders
- Directors
- Adverse press and media coverage
- Litigations
- Exposure to sanctions
- Politically Exposed Persons (PEPs)
- Published lists of high risk entities

Organizations typically commission Enhanced Due Diligence ("EDD") reports to fulfill compliance with various regulatory requirements, including but not limited to anti-bribery and corruption laws and anti-money laundering regulations. In addition, they can be used for supplier risk assessments and transaction due diligence as well as performing due diligence on senior executives.

How can our Enhanced Due Diligence reports serve your needs?

Performing due diligence is much more than packaging raw data. If you are selling, sourcing or investing globally, it helps you to

- mitigate third party risk, be it commercial, reputational, legal or regulatory; and
- enhance your ability to identify, plan, negotiate and execute strategic undertakings.

How does it work?

We access more than 40,000 online data sources worldwide. KPMG utilizes the world's largest and most comprehensive third-party data aggregators. The team analyzing the data and preparing the reports is fluent in 88 languages. The online data sources include corporate

records, press and media sources, court records, law and regulatory enforcement notices, and financial sanctions lists. Our methodology incorporates the use of all these sources, concurrently and iteratively, for a broader and deeper return on research.

How do we ensure confidentiality?

While operating through our KPMG Forensic network, we apply enhanced security measures to ensure the highest level of confidentiality. No company name or individual client or prospect of the client company, which is the subject of the report, will be transmitted abroad together with your company name, to ensure the confidentiality and the uncompromising separation between your company and the name of the target company or individual parties.

Advantages

- Forensic minded analysts: They research and gather information, take the facts and summarize them into a concise and comprehensive report.
- Extensive coverage: Using sophisticated search technology developed by KPMG, we cover an extensive range of online public data sources, worldwide, in multiple languages.
- Scale and turnaround: We have completed reports for our clients in over 180 countries worldwide and can scale our service to meet your needs. Reports are prepared within 2–6 working days.
- Risk-based approach: Our Corporate Intelligence team can provide a full range of bespoke intelligence reports using our extensive worldwide network of public and non-public information sources.
- Clear and consistent reporting: Standard-format reports with succinct summaries of key risk issues, and indications of risk factors.
- Integration with KPMG advisory services: KPMG's solution is designed to fit with other risk mitigation services, such as anti-bribery and corruption, anti-money laundering, third party risk management and supply chain risk management.

Types of report: Entities

An overview of the three different report types for entities and individuals, varying in depth and scope of risk indicators covered are provided below and on the next page:

Suggested applications	Entities assessed by you as low to low-moderate risk or located in low to low-moderate risk jurisdiction		Higher risk and critical third-party suppliers, private wealth clients, Joint Venture Partners, IPOs, pre-acquisition Due Diligence and other heightened risk relationships or entities in higher risk jurisdictions
	Brief Screening Option A	Advanced Screening	Enhanced Due Diligence (EDD)
Executive summary	✗	✓	✓
Risk summary	✓	✗	optional
Background details	✓	✓	✓
Entity Ownership Structure	✗	✗	✓
Adverse press and media (on subject entity)	✗	✓	✓
Litigation (on subject entity)	✗	✓	✓
PEPs, sanctions and other high risk lists on a subject entity	✓	✓	✓
Individuals profiles of ANPs**	✗	✗	4**
Basic background on ANPs	✗	✗	✓
Career development of ANPs	✗	✗	✓
Corporate interests of ANPs	✗	✗	✓
Adverse press and media on ANPs	✗	✗	✓
Litigation on ANPs	✗	✗	✓
PEPs, sanctions and other high risk lists on ANPs	✗	✗	✓
Price in CHF*	CHF 400*	CHF 1'100*	CHF 2'500*
Turnaround time	48 hours	48 hours	96 hours

* Additional research: CHF 200 per hour. All prices are excluding VAT.

** Each additional director research costs CHF 400.

✗ Search criteria is not covered in report. ✓ Search criteria is covered in report.

Types of report: Individuals

Suggested applications	Individuals assessed by you as low to low-moderate risk or / and residing in low to low-moderate risk jurisdiction		Higher risk and critical third-party suppliers, private wealth clients, Joint Venture Partners, fund managers, Management team of a new acquisition and other heightened risk relationships or individuals in higher risk jurisdictions
	Brief Screening Option A	Advanced Screening	Enhanced Due Diligence (EDD)
Executive summary	✘	✔	✔
Risk summary	✔	✘	optional
Basic background	✔	✔	✔
Career development	✘	✔	✔
Public profile	✘	✔	✔
Current corporate interests	✘	✘	✔
Former corporate interests	✘	✘	✔
Adverse press and media (on subject)	✘	✔	✔
Adverse press and media on current business interests	✘	✘	✔
Adverse press and media on former business interests	✘	✘	✔
Litigation on subject	✘	✔	✔
PEPs, sanctions and other high risk lists	✔	✔	✔
Price in CHF*	CHF 400*	CHF 1'100*	CHF 2'500*
Turnaround time	48 hours	48 hours	96 hours

* Additional research: CHF 200 per hour. All prices are excluding VAT.

✘ Search criteria is not covered in report. ✔ Search criteria is covered in report.

Additional Services

In addition to the Enhanced due diligence reports, we also offer searches in offline public local sources such as physical company registration and liquidation documents. We recommend this service for subjects with very low or no online profile but that are based in a country that has relatively good public records in offline local sources.

The gathering of offline information is performed by local KPMG teams around the world. The obtained information is used to enhance and guide the online searches. Before commencing any work, we will conduct preliminary searches and subsequently provide you with an estimated budget and turnaround time.

In-Depth Integrity Due Diligence

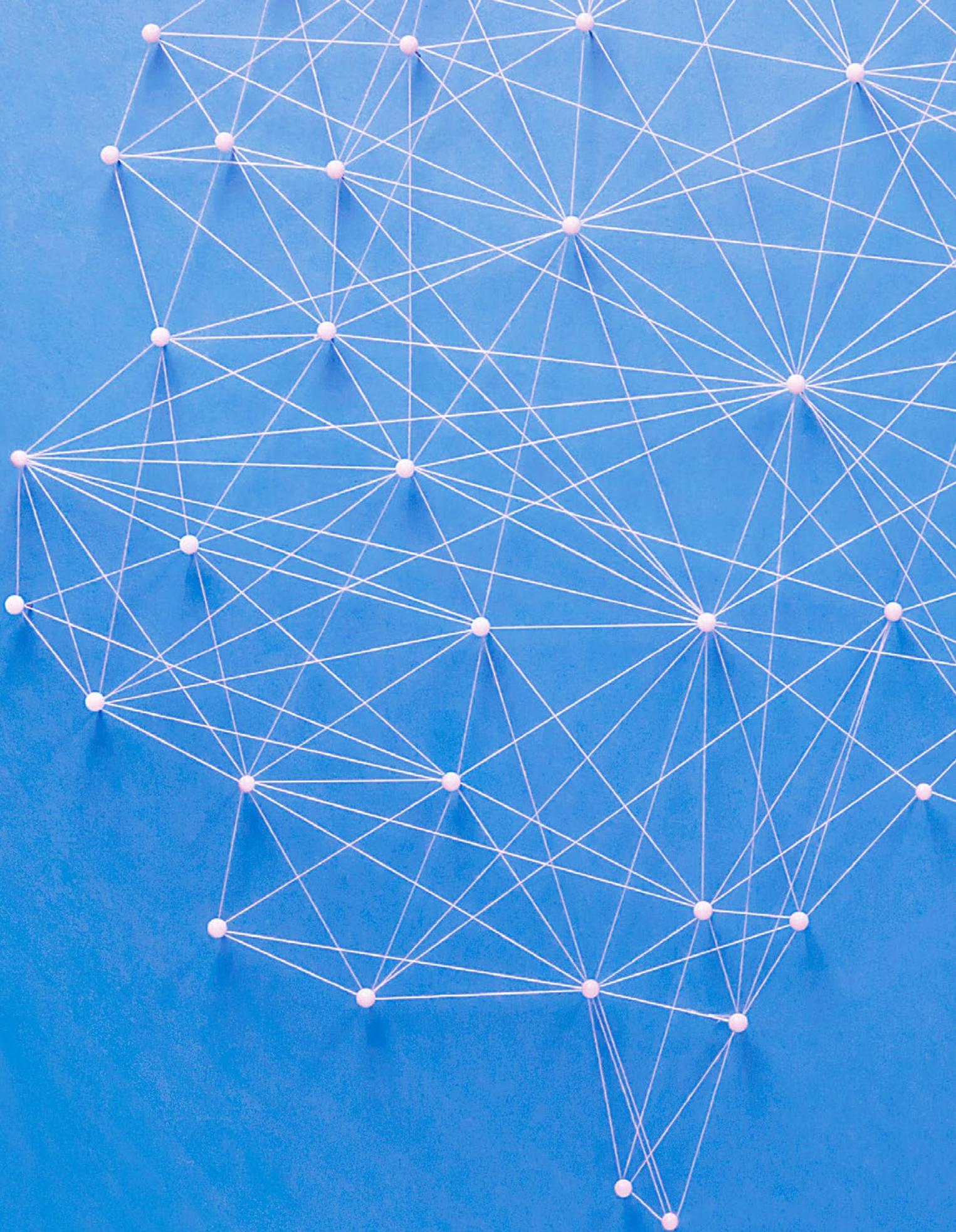
Higher Risk Third Parties: Enhanced In-country Due Diligence (“Boots on the Ground”)

Upon identifying significant third party risk factors and red flags, KPMG can perform in-depth integrity due diligence that would consist of targeted procedures combining deep desktop research, in-country retrieval of documents and information as available, site visits, and interviews. This service is priced based on hours worked and after the scope of services is agreed to.

Such in-depth procedures and the associated budget would be agreed to in advance with you and may include:

- In certain jurisdictions, at the client’s request, we will aim to retrieve local documents and records that are not readily available via public online sources. Examples of document retrieval include, but are not limited to, conducting a company search at a local corporate registry when such information is not available online, obtaining copies of documents (where permissible by law) such as an annual return or copies of filed audited financial statements, and obtaining manually documents relating to court cases (where permissible by law) such as a court docket/complaint or affidavit.

- Interview with the identified contact from the third party
- Interviews with local jurisdiction sources that could include business and commercial contacts, current or former associates of the third party, government officials and/or KPMG colleagues in relevant jurisdictions
- Related-party reviews
- Government contracting and relationship review
- Assets and liabilities review
- Interviews to discover local business reputational information
- Review of local business history of the subject and its associated owners and management
- Commercial debarments, relevant violations/penalties associated with labor, environmental, or other governmental regulatory agencies as well as global sanctions lists
- Site visits



“KPMG offers consistent, scalable and measurable solutions for screening third parties.”

Regulatory sanctions
Data protection
Bribery & corruption
Money laundering
Financial sanctions



Contacts

KPMG AG

Badenerstrasse 172
PO Box
8036 Zurich

Esplanade de Pont-Rouge 6
PO Box 1571
1211 Geneva 26

Eric Blot

Partner,
Forensic
Geneva

+41 58 249 37 24
eblot@kpmg.com

Cédric Biedermann

Director,
Forensic
Geneva

+41 58 249 64 80
cbiedermann@kpmg.com

[kpmg.ch/ci](https://www.kpmg.ch/ci)

The information contained herein is of a general nature and is not intended to address the circumstances of any particular individual or entity. Although we endeavor to provide accurate and timely information, there can be no guarantee that such information is accurate as of the date it is received, or that it will continue to be accurate in the future. No one should act on such information without appropriate professional advice after a thorough examination of the particular situation. The scope of any potential collaboration with audit clients is defined by regulatory requirements governing auditor independence. If you would like to know more about how KPMG AG processes personal data, please read our Privacy Policy, which you can find on our homepage at www.kpmg.ch.

© 2024 KPMG AG, a Swiss corporation, is a subsidiary of KPMG Holding AG, which is a member firm of the KPMG global organization of independent member firms affiliated with KPMG International Limited, a private English company limited by guarantee. All rights reserved.

Enhanced Due Diligence Services