

Sample risk culture survey questionnaire



Clarity

- I understand my organization's mission, vision and strategy.
- I define risk as events with negative outcomes.
- For me, only events which are tangible and can be measured should be considered as risks.



Involvement

- I am aware of the clear qualitative and quantitative parameters within which I am required to manage risks.
- I am aware of the defined escalation mechanism in the event of any risks materializing in the organization.
- In my opinion, the core members of the business functions should participate in the risk management process.



Role modeling

- I know my organization assesses the risk associated with acquisitions, strategic alliances and has a mechanism in place to address it.
- I believe that management encourages the business to conduct its affairs in accordance with the applicable laws and in a moral and honest manner.
- I am fully aware that management has zero tolerance for fraud and misconduct.



Practicability

- Sufficient information, including external market data, is readily available, which I can use for my decision making, if required.
- I take a conscious effort to consider risk information as part of my decision-making process.
- Management IT systems, reports and applications give me sufficient information to aid in risk identification and management.



Enforcement

- It is my responsibility to identify and manage risks which emanate within the purview of my role.
- I believe that risk awareness is recognized as a key prerequisite for management personnel across the organization.
- My accountability on managing risks is clearly mapped to my job description and targets.



Improvement

- I believe my organization performs the necessary analysis with respect to risks that may have materialized (for the organization and its competition), as learning for the future.



Openness

- I believe that the organization's culture encourages a proactive attitude among employees with regards to risk taking.
- People within my division/organization are comfortable in discussing risks or are not afraid to raise difficult issues.
- My management is approachable when it comes to bringing key risks to their attention.
- The leaders of my division/organization are receptive to all communications about risk, including bad news.
- I am aware that the organization analyzes risks associated with any contractual arrangements or obligations (such as those with business partners, suppliers, customers, etc.).
- I know that the organization encourages employees to come forward and report incidents of fraud and misconduct.
- I believe that the organization encourages its partners (supply chain partners, distributors, customers, etc.) to openly discuss risks that they might face (shortage of a key raw material, break-down of logistic channels due to strikes, etc.) which may have an impact on the company.