



Forensic discovery services for the financial sector

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The financial services sector is powered by information. Successful companies are often those which harness streams of data to successfully manage their clients' needs, while interpreting trends that allow them to plan for the future. Financial technology platforms allow for the collection, processing and analysis of millions of transactions, monetary flows and market intelligence. This allows banks, exchanges, trading houses and many others to service their clients' needs in a compliant and regulated manner.



The strength of these platforms is based on the ability to effectively analyze and manipulate structured data – information that sits in scalable databases and allows intelligent systems to power the world's financial backbone.

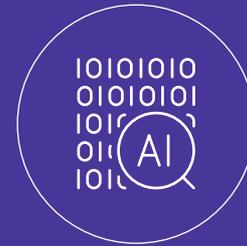


What happens, however, when the data is not structured? It may sit in different digital formats, foreign languages or even as a hard copy. How can an organization analyze and gain insightful intelligence from unstructured data such as emails, documents and digital data that is not easy to collate, or review in current financial systems?

KPMG's forensic discovery services



KPMG's certified forensic discovery team provides a methodology and platform that allows review and analysis of information that does not fit into the standard mold of financial data. This approach incorporates internationally recognized principles for forensic collection and preservation of data, meeting both legal and regulatory standards.



Utilizing the latest in unstructured data review technology and incorporating artificial intelligence and machine learning capabilities, KPMG can provide insights into key untouched data sources. This, in turn, enables a seamless and secure review from anywhere in the world.

Solutions

Mergers & acquisitions



It is impossible to know the entirety of a company's situation without a complete analysis of its data. While financial information will show a significant part of the picture, it may not identify important areas such as the underlying reasons behind major decisions, historical information or fraud and misconduct. KPMG's forensic discovery experts can work with the relevant parties to analyze communications and other key data sources to clarify and improve financial data analysis and provide factual evidence underpinning any issues.

Restructuring activities



Banks and other concerned parties can face many challenges when clients are restructured or go into liquidation. In conjunction with key stakeholders, KPMG's forensic discovery team can preserve data relating to client activities. If the need arises, it can be investigated and reviewed by legal teams or regulatory bodies across the world via a secure platform.

Fraud and misconduct



A wrongdoer's email record will often reveal evidence of misconduct or fraudulent activity. How do you quickly delve into potentially hundreds of thousands of emails to find such evidence? KPMG's forensic discovery experts have the tools to enable investigators to rapidly search, analyze and explore email content and communication networks without having to review thousands of emails.

Regulatory demands



Operating within one of the most highly-regulated industries, financial service organizations are frequently faced with requests for information from regulators. KPMG's forensic discovery team has the ability to retrieve and produce information not only from unstructured data sources such as emails, textual documents, instant messaging (e.g. Bloomberg chat), but also collates this with structured sources, such as trade data or rates information to give a comprehensive view of events.

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